



One state. One system.

User Community Forum

September 2016



Michael Muth

FI\$Cal Onboarding Manager

Agenda

- Project Status
- Changes When SCO/STO Control Agencies are Implemented (July 2017)
- FI\$Cal Service Center:
 - *What we've heard from you*
- Close



Bill Harrigan

State Controller's Office (SCO)

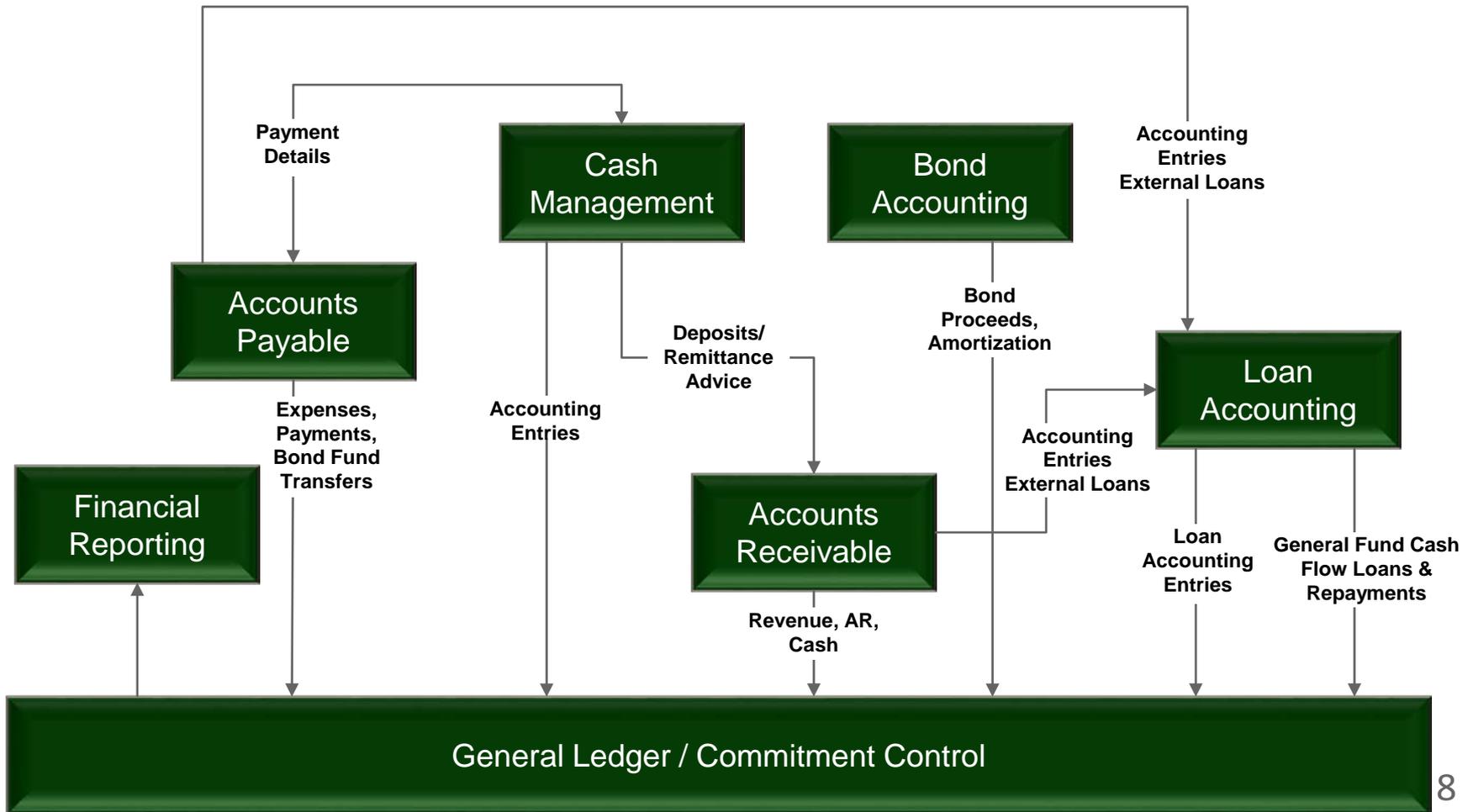


Overview of FI\$Cal Solution and New Functionality

Summary of Departmental Impacts

Preview of Departmental Activities

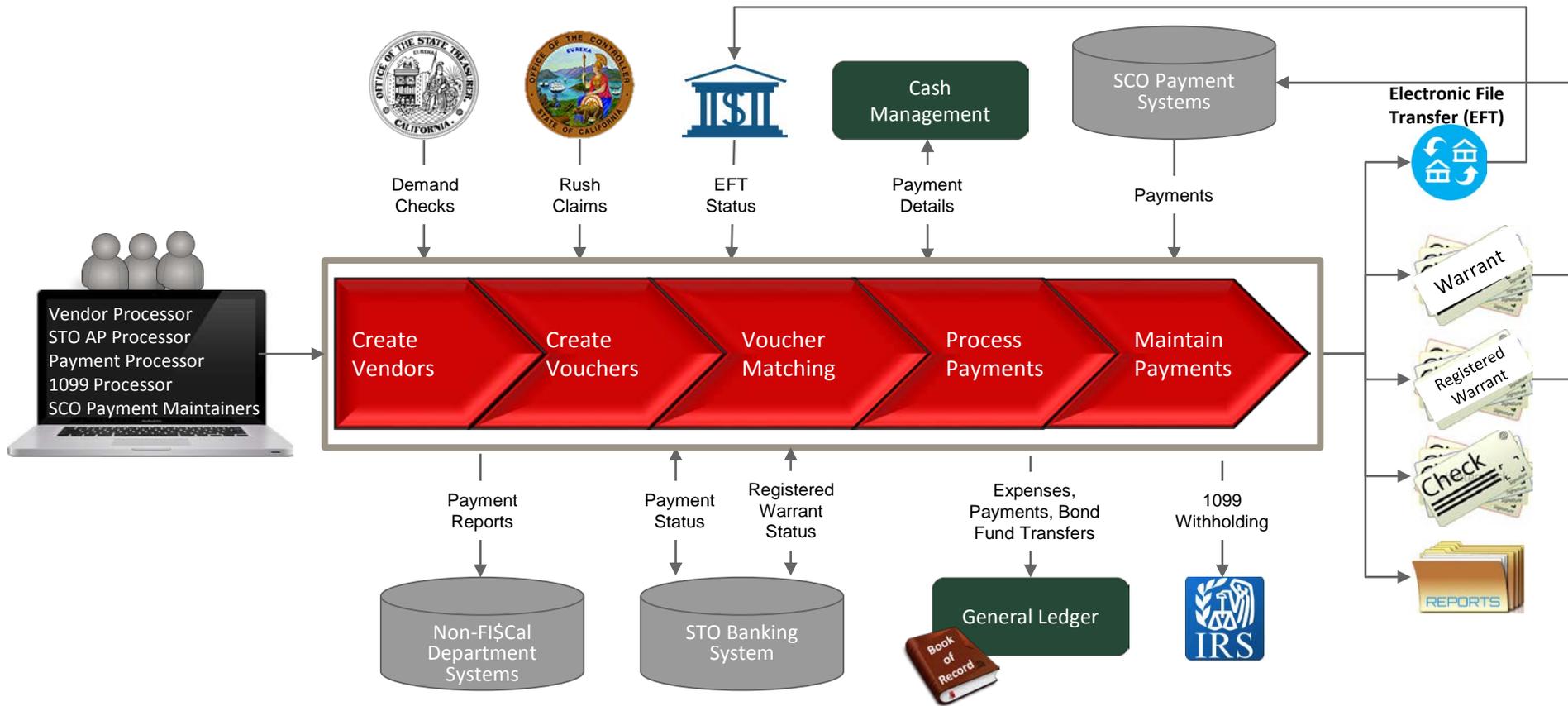
SCO/STO Solution Overview





FI\$Cal Accounts Payable enables online processing and workflow, **freeing departments from having to mail or hand-deliver paper claims** to SCO Disbursements for approval and release. As SCO Disbursements pays about **183,000 payments daily** – 97,000 warrants and 86,000 EFT – the amount of transactions processed via paper will dramatically decrease.

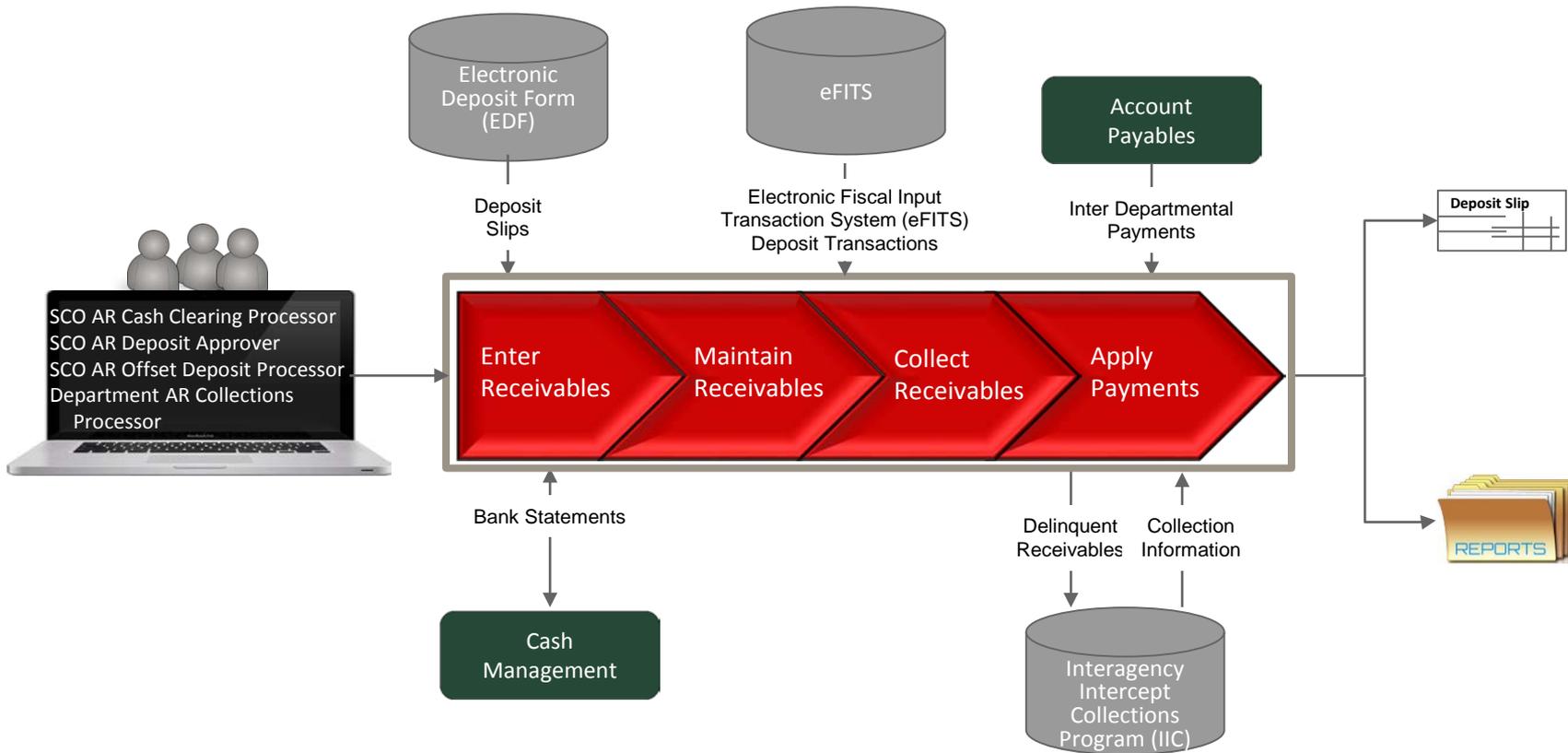
Accounts Payable (AP)





FI\$Cal Accounts Receivable **streamlines** the State's deposit remittance processes – **consolidating** the multiple control agency and department accounting systems into a **centralized system**.

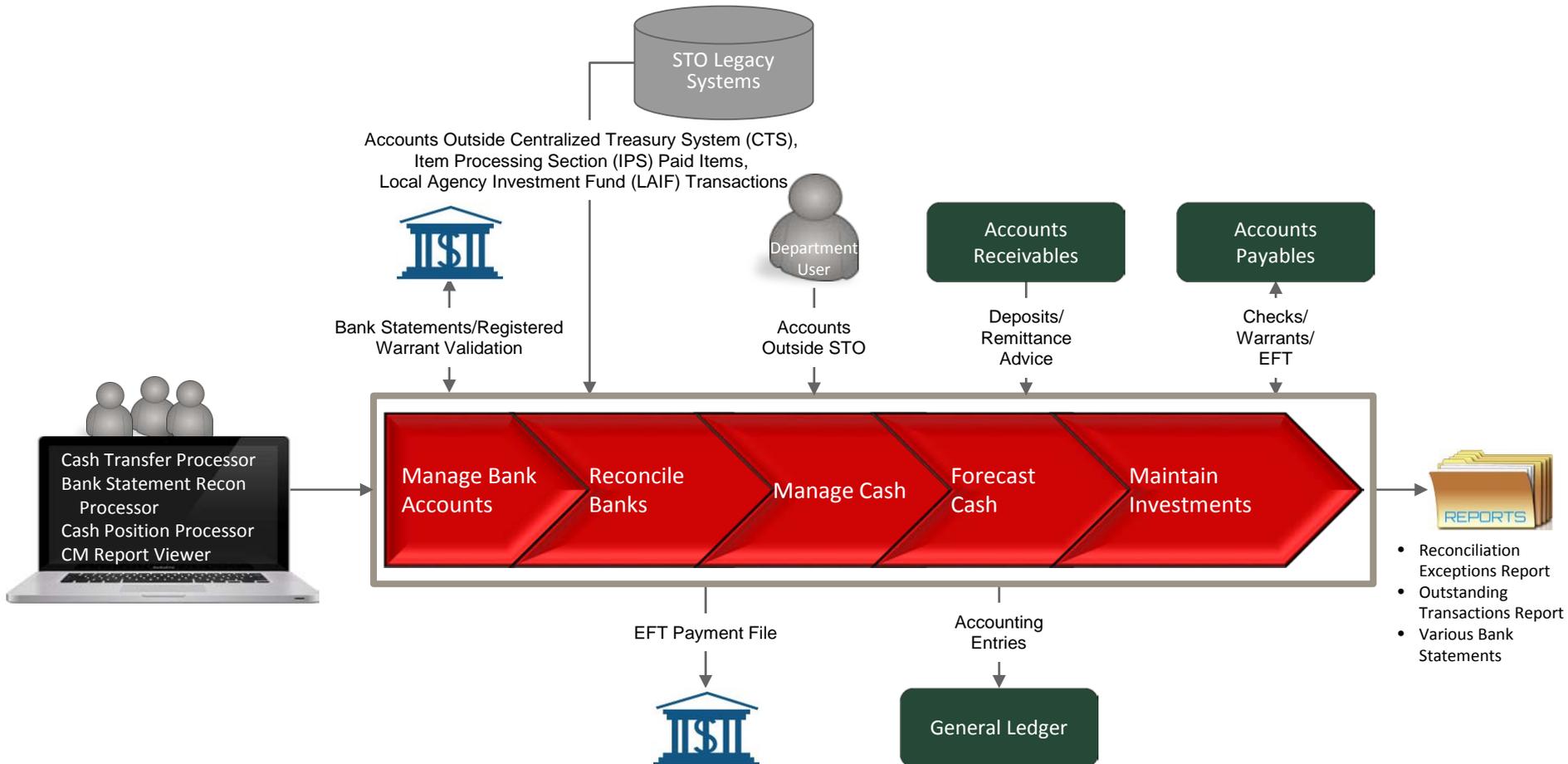
Accounts Receivable (AR)





FI\$Cal Cash Management **automates and centralizes** the reconciliation of **over \$2.5 trillion per year in banking transactions** into **one system**. STO will no longer have to pull information from approximately four different systems to perform bank reconciliation of the many State banks.

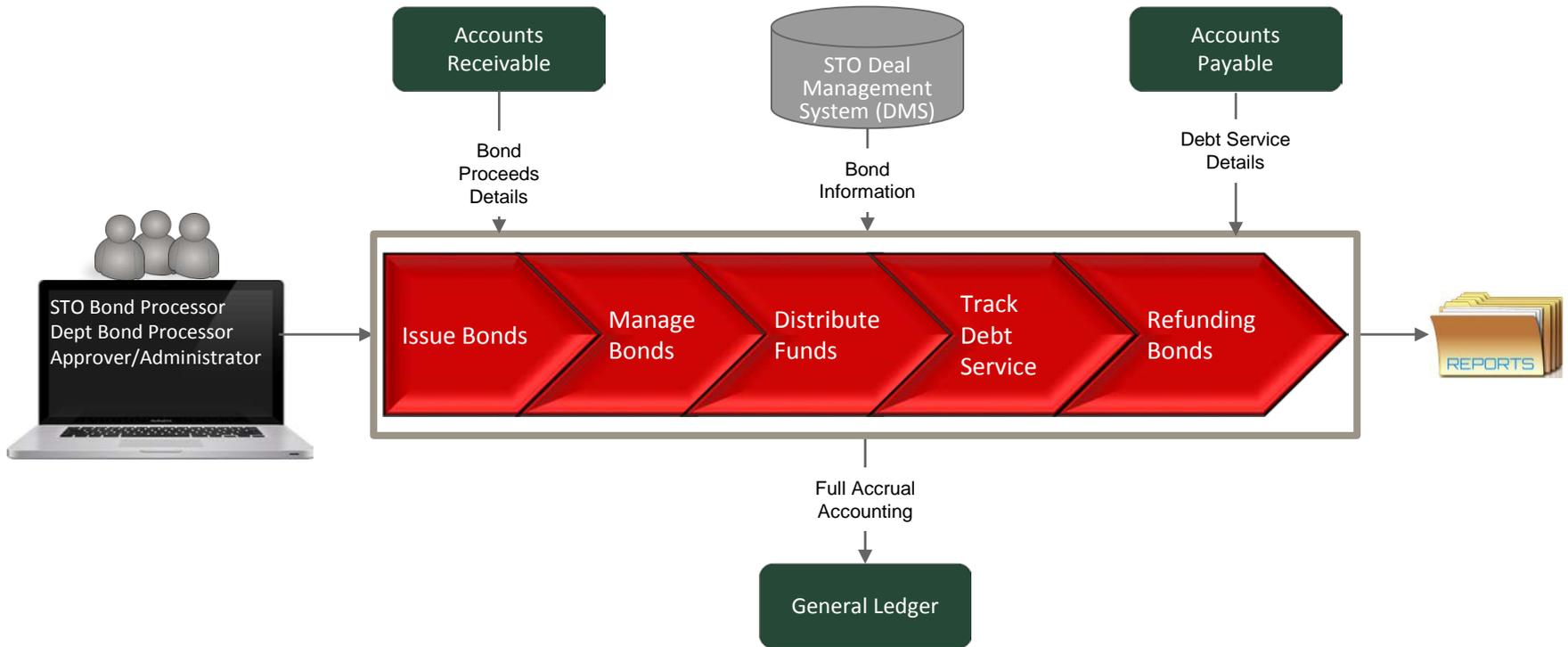
Cash Management (CM)





FI\$Cal Deal Management **automates key bond calculations** for **over \$85 billion in outstanding bonds** that SCO has historically calculated by hand. In addition, FI\$Cal **eliminates SCO maintenance of excel spreadsheets** used to forecast future debt service.

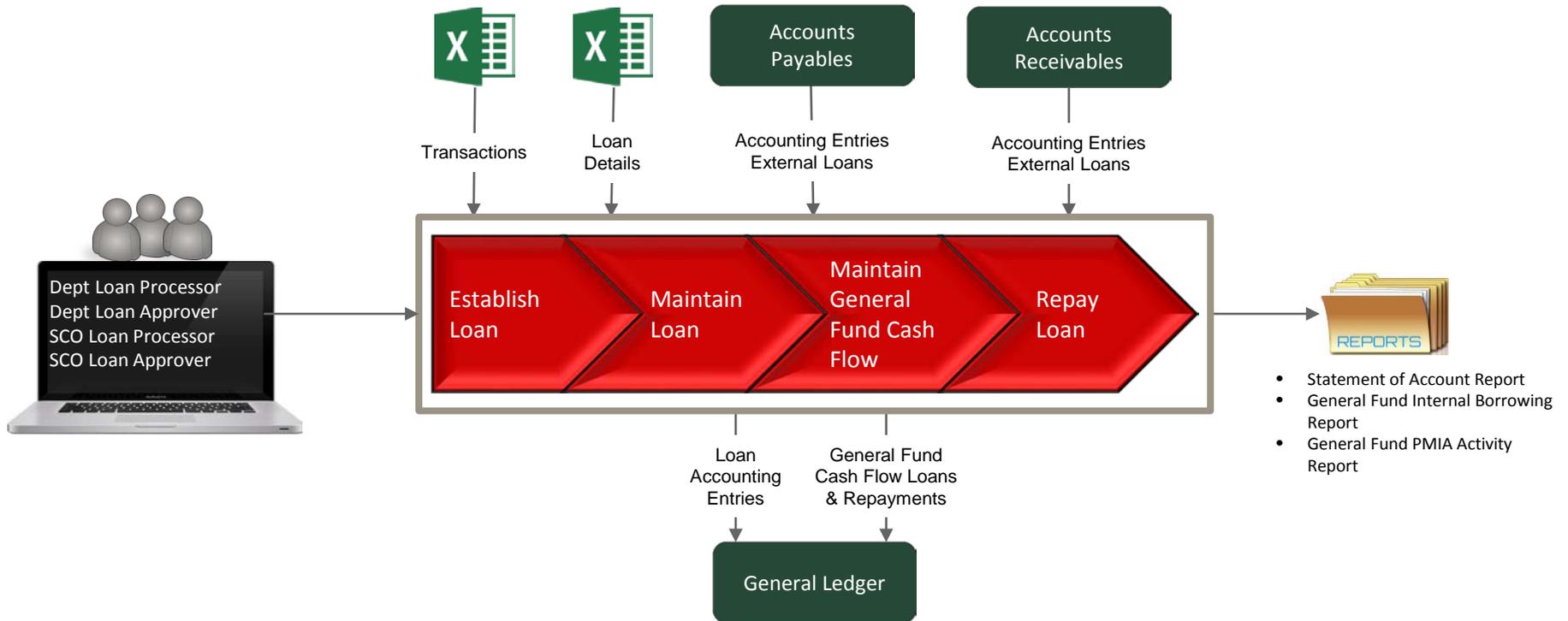
Bond Accounting (DM)





FI\$Cal Loan Accounting **automates the largely manual and time-intensive process** of tracking loans via multiple spreadsheets. In addition, the recording of the accounting is automated. Two distinct processes are consolidated into one – **reducing the loan-tracking and accounting efforts, while making loan inquiry and reporting capabilities available** to authorized system users.

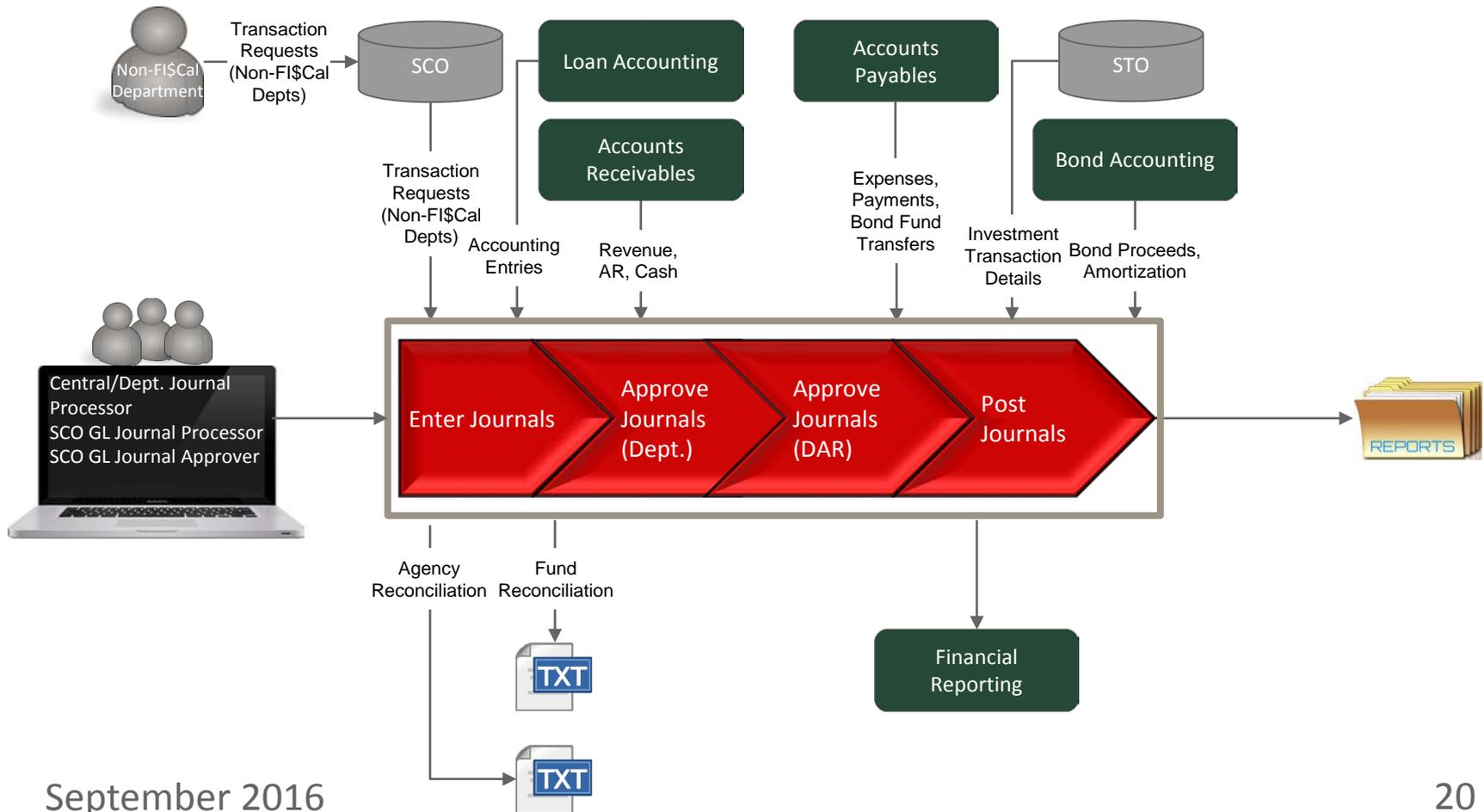
Loan Accounting (LA)

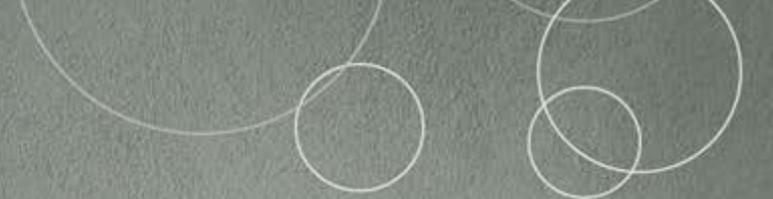




FI\$Cal General Ledger **consolidates the multiple accounting systems** managed by the various departments into one system. As the **Book of Record**, FI\$Cal will provide **increased transparency** – SCO and other control agencies will have increased visibility of departments' accounting.

General Ledger (GL)





Overview of FI\$Cal Solution and New Functionality

Summary of Departmental Impacts

Preview of Departmental Activities

Departmental Impacts

- FI\$Cal will become the state's Book of Record
- Departments with their own systems that interact with the SCO or the STO will continue to use those systems, FI\$Cal versions of the same interfaces currently used are being provided
- Commitment Control in FI\$Cal will become the state's appropriation ledger, control of appropriations will be strictly enforced by the SCO
- FI\$Cal replaces CTTS for CTS account generation (reports from FI\$Cal)
- Cash Validation will occur throughout FI\$Cal, departments will not be allowed to have negative fund balances
- The SCO will Process Claims directly in FI\$Cal as vouchers
- Payment related inquiries will be made in FI\$Cal and not in SCO legacy

What's Not Changing

- CalATERS
- STD 218 process (claim schedule)
- Specialized Payment Systems (electronic claims)
- Warrant Post issuance process
- Electronic Deposit Form (EDF) (State Treasurer's Office)
- Electronic Fiscal Input Transaction System (EFITS)
- Journal Entries requested by Departments
- Payroll processes
- Year End reports

Overview of FI\$Cal Solution and New Functionality

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Department Activities

Activity	Start	End	Status
Departmental Interfaces Kickoff	02/03/16	02/03/16	Complete
Interface Build and Unit Test	02/03/16	06/30/16	Complete
Interfaces Workshops	02/23/16	02/25/16	Complete
Secure File Transfer Protocol (SFTP) User Access	02/29/16	07/15/16	Complete
Interface Testing Support Sessions	09/26/16	10/21/16	Not Started
Business Process Workshop (BPW) for Loan Processing	10/03/16	10/03/16	Not Started
Business Process Workshop (BPW) for Bond Processing	TBD	TBD	Planning in Progress
Business Process Workshop (BPW) for Statewide Impacts	10/11/16	10/12/16	Not Started
Interface Testing	11/07/16	01/30/17	Not Started
FI\$Cal Role Mapping	early 2017	early 2017	Planning in Progress
Training and Communication	TBD	TBD	Planning in Progress



Wes Riley

Assistant Deputy Director, FI\$Cal Service Center

What We've Heard from You

- “Some tickets take too long”
 - FI\$Cal Service Center (FSC) is growing
 - adding 17 new staff
 - will reduce timelines
 - WebEx remote real-time assistance
 - Growing our Knowledge Base

What We've Heard from You

- “Log in trouble, passwords, roles”
 - Access Management SLO: 2 days
 - Process improvements
 - Automated self-service provisioning
 - Most new FSC staff dedicated to L1
 - Please call with log in problems

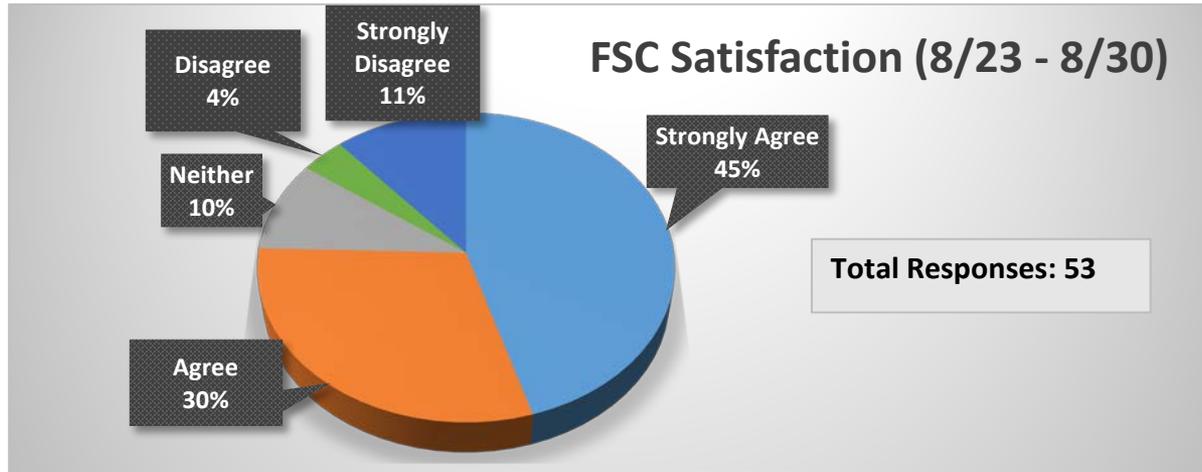
Reminders

- Be mindful of Security:
 - screen shots
 - FSC can help you redact
- MEC/YEC ticket priority:
 - please let us know (subject line) so we ensure these are marked high priority

Your Feedback is *Very* Valuable!

- Survey Response rate has increased
- Overall Satisfaction Goal **4.0**
- Reduced survey length
- Video suggestions?

Overall Satisfaction Rate **3.9**



532 survey requests sent; 53 surveys completed. Response rate: 10.03%

90-day Overall Satisfaction Trend



FSC Screen Sharing Tool: WebEx

- Now we can see what you see when you see it
- No local install required
- More secure than screen shots
- On demand or scheduled
- You remain in control

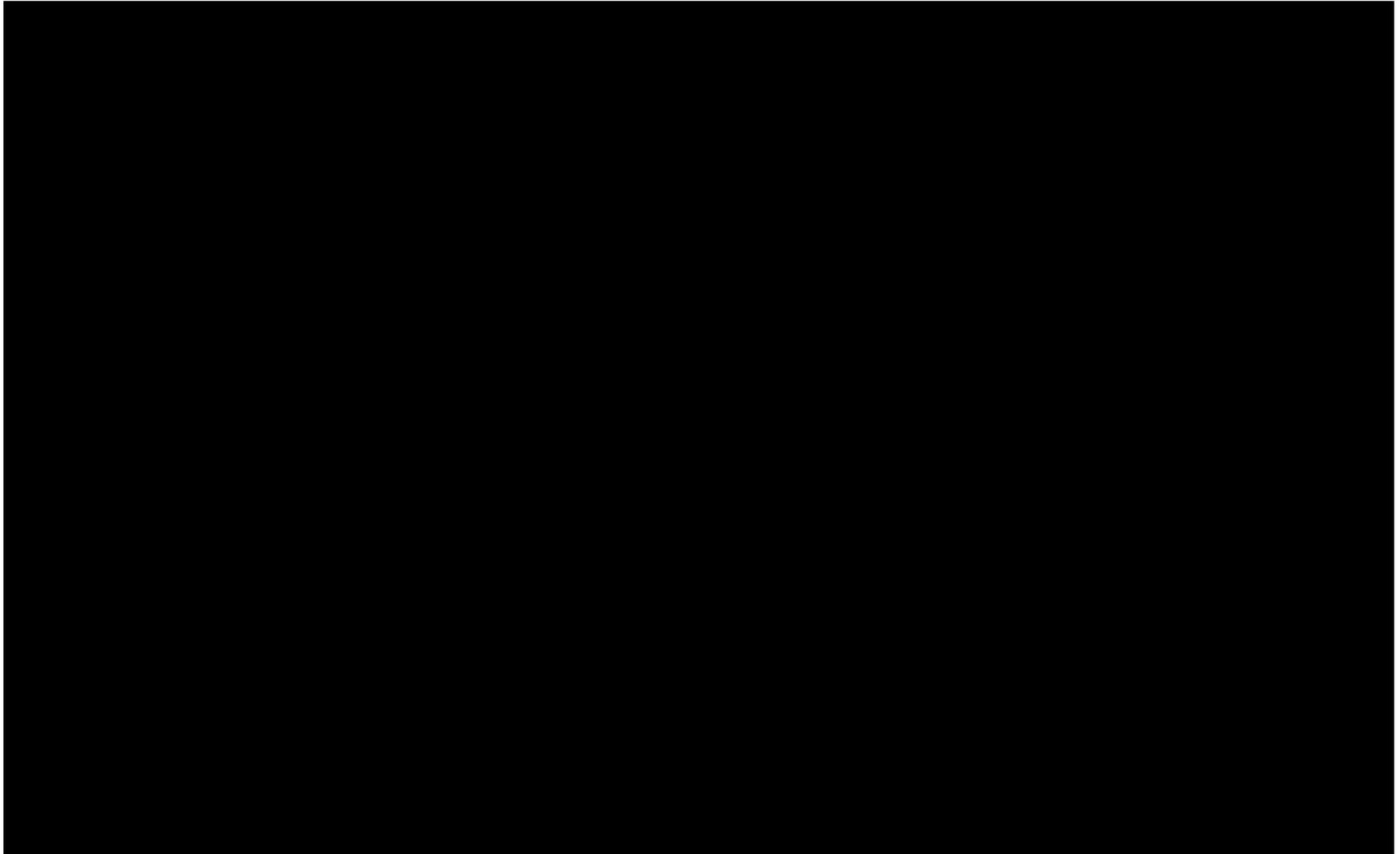
FSC Screen Sharing Tool: **Internet Explorer**



Access FSC: Self-Service Portal

- Create your own tickets directly
- *Faster.* no email lag
- Include any info or attachments you wish
- View your ticket status anytime
- How-To Video posted
- Reset your own password

Access FSC: Web Ticket Creation



FSC Web Page

- News and Updates
- New How-To videos
- Known Issues
- Release Notes
- Maintenance Schedule and Outages

Clearing Your Browser's Cache

The screenshot shows a web browser window displaying the FI\$Cal website. The browser's address bar shows the URL http://www.fiscal.ca.gov/access-fiscal/FISCal_Se. The website header features the FI\$Cal logo and the tagline "One state. One system." Below the header is a navigation menu with links for "ABOUT FISCAL", "RESOURCES", "FAQS", "CAREERS", and "ACCESS FISCAL". The main content area is divided into two columns. The left column contains a "FI\$Cal Service Center" section with a "Resources" list and "Contact Information". The right column contains a "Quick Links" section with buttons for "Login to FISCal", "Application Maintenance", and "FISCal Training Academy". The browser's taskbar at the bottom shows various application icons and the system clock indicating 10:22 AM on 7/25/2016.

FI\$Cal
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FI\$Cal
One state. One system.

Home ABOUT FISCAL RESOURCES FAQS CAREERS ACCESS FISCAL

FI\$Cal Service Center

Resources

- [Contact Information](#)
- [General Resources](#)
- [Helpful Videos](#)
- [End User Access](#)
- [Workstation Configuration](#)
- [Configuration Modification Requests](#)

Contact Information

- Phone: (855) FISCAL0 (347-2250) (Toll Free)
- Email: fiscalservicecenter@fiscal.ca.gov
- Hours of operations (phone & email) are Monday through Friday, 6:00 a.m. to 7:00 p.m., Pacific Time, except on State Holidays

Quick Links

FISCal Login to FISCal

Application Maintenance

FISCal Training Academy

Additional FISCal End User Supplemental Job

10:22 AM
7/25/2016

Questions and Answers



FI\$Cal Project Information:

<http://www.fiscal.ca.gov/>

or e-mail the FI\$Cal Project
Team at:

fiscal.cmo@fiscal.ca.gov



APPENDIX

Accounts Payable (AP)

Key Solution Characteristics:

- SCO's existing claims process will remain the same
- Multiple vouchers to a vendor for a business unit can be combined into a single payment
- FI\$Cal vendors will have the option of being paid electronically
- The SCO will no longer have to key-enter claim schedules for electronic claims that result in warrants
- The SCO will no longer have to send warrants to be redeposited to the STO

Training

- **AP221** – Warrant Processing

Configuration

- EFT Codes
- Payment Terms
- Legacy Claim / Payment Defaults
- Registered Warrant Setup
- Post Issuance Payment Details

Conversions

- **CNVAP006/CNVAP007** – Outstanding Warrants Conversion

Interfaces

- **INFAP017** – Inbound Payment Data Specialized Payments
- **INFAP028** – Inbound Merged Warrant Rec file from BRSSISSUES
- **INFAP030** – Inbound Extract of Claim Headers Records
- **INAP031** – Inbound Paper Claims Payment Transactions without Warrant Numbers
- **INFAP023** – Departmental Outbound CD102 Standard Layout
- **INFAP024** – Departmental Outbound Cancelled and Redeposit Warrants
- **INFAP026** – Departmental Outbound CD 102 Standard Layout
- **INFAP027** – Departmental Outbound CD 102 CalSTARS Layout
- **INFAP020** – ACH Payment Print File and Data
- **INFAP025** – Departmental Outbound Redeemed Warrants to STO
- **INFAP033** – RWL Presented Payments STO
- **INFAP034** – RW: Available for Payment
- **INFAP037** – Inbound EFT Reject Payments

Accounts Receivable (AR)

Key Solution Characteristics:

- Users will be able to enter deposit slips for STO reconciliation.
- Departments will be able to deposit money on behalf of other departments.
- The will automatically generate preliminary deposit accounting entries upon deposit recording, i.e. General Cash and Uncleared Collections.
- Demand Account accounting entries will be created for Treasury books.
- Departments will send AR data electronically for further collection (e.g. FTB Offset, Lottery).
- The System will perform reconciliations between the State Treasury/CTS and outside financial institutions

Configuration

- Cash Type
- Deposit Cash Clearing Defaults
- Receivables Definition
- Collection Code
- Offset Defaults

Conversions

- N/A

Training

- **AR125** – Bank Deposits
- **AR126** – Approving and Reviewing Deposits

Interfaces

- **INFAR019** – EDF Interface

Cash Management (CM)

Key Solution Characteristics:

- Treasury Transaction Life Cycle:
 - CTS banks statements reconciliation
 - Demand bank account reconciliation
- Includes the following types of Accounts:
 - Centralized Treasury System accounts will be maintained in FI\$Cal system
 - Warrant bank accounts
 - Demand accounts
 - Zero-balance accounts
- +70 Reports to aide bank reconciliation section, financial service section, and investment section

Training

- **CM221** – Reconciling Bank Statements for STO
- **CM231** – Managing Accounts Outside CTS (Report 14)
- **CM232** – Transfers for SCO
- **CM241** – Cash Management for STO and SCO

Configuration

- Bank Information
- Netting Accounts
- External Accounts
- Reconciliation Rules
- Deposit Slip Extension
- Position Source

Conversions

- **CNVCM006** – SCO Centralized Treasury Trust System
- **CNVCM007** – FED/EDF, CheckWrite, Frontier
- **CNVCM008** – Accounts Outside CTS Details

Interfaces

- **INFCM033** – Outbound Bank Statement Details
- **INFCM011** – IPS Paid Item Interface for Adjustment
- **INFCM018** – IPS Paid Item Interface
- **INFCM003** – Inbound Bank Statement Interface 1
- **INFCM004** – Inbound Bank Statement Interface 2
- **INFCM005** – Inbound Bank Statement Interface 3
- **INFCM006** – Inbound Bank Statement Interface 4
- **INFCM007** – Inbound Bank Statement Interface 5
- **INFCM008** – Inbound Bank Statement Interface 6
- **INFCM009** – Inbound Bank Statement Interface 7
- **INFCM010** – Inbound Bank Statement Interface 8
- **INFCM012** – External Transactions Interface

Bond Accounting (DM)

Key Solution Characteristics:

- Capture and process an unlimited number of deals and trades
- Capture Bond Proceed Information via Accounts Receivable
- Process Debt Service as Vouchers in Accounts Payable
- Template based definitions that provide seamless integration with General Ledger
- Visibility to deal transactions reflected in the Cash Position
- Eliminate potential errors with straight-through processing
- Record multiple changes to principal balances during the life of the Deal
- Provides liquidity management, such as; streamlining deal initiation, administration, settlement accounting, and position monitoring
- Manage Deal accruals and amortization
- Automatic generation of accrual and payment schedules for interest bearing instruments and swaps
- Bond Debt Service Forecasting Information

Training

- **DM101** – Issuing, Processing and Refunding Bonds for SCO and STO
- **DM102** – Distributing and Tracking Funds

Configuration

- Accounting Templates
- Treasury Counterparties
- Settlement Instructions
- Define Instruments
- Facilities (Bond Act)
- Portfolios (Program)

Conversions

- **CNVDM001** – Outstanding Bonds Conversion

Interfaces

- **INFDM001** – GO – Excel to CI
- **INFDM002** – LRB – Excel to CI
- **INFDM003** – Fees – Excel to CI
- **INFDM004** – Refunding App Engine
- **INFDM006** – Load Debt Service Schedules

Loan Accounting (LA)

Key Solution Characteristics:

- Custom Module implemented to create, maintain and repay loans
- Automated process to handle Daily General Fund Cash flow loans for SCO
- Supports posting to all ledgers in FI\$Cal
- Interest accrual automated for all loans
- Accounting rules created to avoid manual accounting entries wherever possible

Configuration

- Loans
- Participants
- General Fund Cash Flow Loan Parameters

Conversions

- **CNVLA001** – Outstanding Loans

Training

- **LA101** – Introduction to Loan Management
- **LA102** – Loan Transaction Processing
- **LA103** – Managing Daily General Fund Cash Flow Loans

Interfaces

- **INFLA002** – Loan Details/Transaction Upload (Excel Uploads)

General Ledger (GL)

Key Solution Characteristics:

- Statewide Book of Record under multiple bases of accounting (Cash, Modified Accrual, Budgetary/Legal)
- Statewide Chart of Accounts supplemented by departmentally defined codes (Alternate Account, Reporting Structure, Service Location)
- Automated cash validation
- Automated cost allocations
- Automated cash transfers between bond funds
- Automated investment accounting
- Automated recording of Transaction Requests for Non-FI\$Cal departments
- Automated PMIA interest allocation

Configurations

- Function Codes (Appropriations)
- Revenue Codes
- Message Codes

Conversions

- **CNVGL008** – Ledger Balances
- **CNVGL009** – Appropriation Balances

Training

- **GL301** – Month-End Close and Processing Transaction Requests for SCO
- **GL302** – Reviewing Budget Exceptions and Managing Cash Validations
- **GL304** – Running the Agency and Fund Reconciliation Process (Non-FI\$Cal Departments)

Interfaces

- **INFGL114** – Outbound Agency Reconciliation Details
- **INFGL115** – Outbound Fund Reconciliation Details
- **INFGL118** – Inbound Year End Accruals
- **INFGL120** – Outbound Prior Year Accruals
- **INFGL108** – Inbound Transaction Requests (Non-FI\$Cal Departments)
- **INFLA001** – Inbound Investment Transactions
- **INFAR016** – Inbound eFITS Transactions